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#4 2661

Attorney Docket No. 10251-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit : 2661

Examiner :

Application of	:	ROBERT J. FEILBOGEN et al.
Serial No.	:	09/848,931
Filing Date	:	May 4, 2001
Title	:	METHOD AND SYSTEM FOR INITIATING AND CLEARING TRADES

**INFORMATION  
DISCLOSURE  
STATEMENT**

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Brian Hirsant*

July 24, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

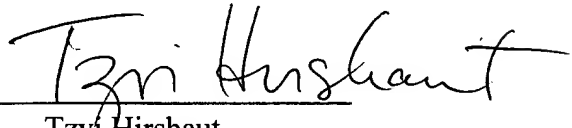
Applicants submit herewith an International Search Report, a PTO-1449 form and copies of the information listed thereon. The International Search Report issued in connection with an International patent application that is a counterpart of the above-identified U.S. patent application.

Applicants also submit herewith International Publication Number WO 00/77709.

Applicants respectfully request that the references listed on the enclosed PTO-1449 form be considered and entered of record in this application.

No fee is believed to be necessary in connection with the filing of this Information Disclosure Statement. However, should a fee be required, the Commissioner is hereby authorized to deduct this fee, and any other fee deemed appropriate, from Deposit Account No. 16-2500 of the undersigned.

Respectfully submitted,  
PROSKAUER ROSE LLP  
Attorneys for the Applicant

By   
Tzvi Hirshaut  
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Date: July 24, 2001

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Enclosures: International Search Report  
PTO-1449 Form  
Copies of references listed

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Form PTO-1449 (Rev. 6-98)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.

10251-037

Serial No.

09/848,931

Applicant

Robert J. FEILBOGEN

Filing Date

May 4, 2001

Group Art Unit

2661

INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
	5	8	8	4	2	8	5	03/16/1999	Atkins		
	6	1	6	7	3	8	6	12/26/2000	Brown		
	6	0	1	6	4	8	3	01/18/2000	Rickard		
	5	9	8	7	4	3	2	11/16/1999	Zusman et al.		

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No
	WO 00/77709	21.12.2000	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report – three pages.

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.

